# Optimal Delay for Media-on-Demand with Pre-loading and Pre-buffering

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#### Abstract

Broadcasting popular media to clients is the ultimate scalable solution for media-ondemand. The simple solution of downloading and viewing the medium from one channel cannot guarantee a reasonable startup delay for viewing with no interruptions. Two known techniques to reduce the delay are pre-loading and pre-buffering. In the former an initial segment of the medium is already in the client buffer, and in the latter segments of the medium are not transmitted in sequence and clients may pre-buffer later segments of the medium before viewing them. In both techniques, clients should be capable to receive streams from channels at the same time of handling their own buffer and view the medium from either one of the channels or the buffer.

This paper considers broadcasting schemes that combine pre-loading and pre-buffering. We present a complete tradeoff between (i) the size of the pre-loading; (ii) the maximum delay for an uninterrupted playback; (iii) the number of media; and (iv) the number of channels allocated per one medium. For a given B the size of the pre-loading as a fraction of the medium length, for m media, and for h channels per medium, we first establish a lower bound for the maximum delay, D, as a fraction of the medium length, for an uninterrupted playback of any medium out of the m media. We then present an upper bound that approaches this lower bound when each medium can be fragmented into many segments.

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#### 1 Introduction

Media-on-demand (MoD) is the demand by clients to read, listen to, or view various types of media. In its simplest function, the clients would like to have an uninterrupted playback with start-up delay as small as possible. The subject of this paper is to reduce the maximum startup delay for MoD systems that support uninterrupted service. Our main objective is to achieve the smallest maximum start-up delay for given amounts of two resources: the bandwidth of the system, and the client local memory. There are two main types of systems that support MoD: unicast systems and broadcast systems. The former provides an immediate service as long as there are not too many clients. The latter can support many clients but cannot guarantee immediate service. For popular media, *broadcasting* is the ultimate scalable solution. In various broadcasting schemes, different parts of the media are transmitted on channels viewable to the clients. This paper considers the potential benefit of broadcasting schemes by using some of the client memory for storing in advance (*pre-loading*) parts of the media.

In a basic implementation of MoD systems, clients who wish to view a movie<sup>1</sup>, select the channel that would start broadcasting this movie the earliest after their request time. Movies are broadcast on one channel or several channels. Thus if h channels are allocated to a movie of length L time units, a maximum start-up delay of L/h time units is guaranteed by starting a new transmission every L/h time units. In recent years, more efficient broadcasting schemes that are based on *pre-buffering* were suggested. In these schemes, each movie is partitioned into segments, and the segments are transmitted on the channels in some order, not necessarily their order in the movie. Clients access all the channels simultaneously, 'collecting' segments to their local memory, and watch the segments of the movie in their correct order - some directly from the channels and some from their own memory.

The above broadcasting schemes require customers to get the service through a set-top box (STB) capable of storing locally the transmitted data. This requires that the STB be equipped with a local memory (disk). In fact, this technology is already available: digital VCRs offered by ReplayTV [20], TiVo [23], and UltimateTV [24], have capacities of at least 300 gigabytes, enabling the client to store hours of movies in perfect quality. The disk capacity can be used to store entire movies and also pre-buffered segments and pre-loaded segments of other movies. Usually the former type of movies will be non-popular movies where the latter type will be popular movies for which the broadcasting solution is more beneficial.

In this paper, we consider broadcasting schemes that combine pre-loading and pre-buffering. That is, we assume that some prefix of the movie is stored at the clients' machines, and therefore they need only to receive the remainder of the movie. We present a complete tradeoff between (i) the size of the pre-loading; (ii) the maximum delay for an uninterrupted playback; (iii) the number of movies; and (iv) the number of channels allocated per movie.

For a given B, the size of the pre-loading as a fraction of the movie length, for m movies, and for h channels per movie, we first establish a lower bound for the maximum delay, D, as a fraction of the movie length, for an uninterrupted playback of any movie out of the m movies.

<sup>&</sup>lt;sup>1</sup>For convenience, we use the terminology of movies in Video-on-Demand (VoD).

We then present an upper bound that approaches this lower bound when each movie can be fragmented into many segments.

#### 1.1 Related Work

MoD systems, and in particular the solution of broadcasting, have been studied extensively in recent years. The paper [3] surveys broadcasting protocols and describes the development of these protocols, starting with Staggered broadcasting protocols, in which the movies are simply transmitted repeatedly on the channels (e.g., [4]), through Pyramid-based broadcasting protocols, in which movies are partitioned into segments and different segments are broadcast on different channels [25], and finally Harmonic broadcasting protocols in which segment i is allocated bandwidth proportional to 1/i (e.g., [9]).

The case when there is no pre-loading, and pre-buffering may start only when clients start viewing the movie, received much attention in the recent decade. The papers [8, 10] present a simple schedule of one movie on h channels by partitioning the movie into  $2^{h} - 1$ segments. Their schedule implies a maximum start-up delay of  $1/(2^{h}-1)$  for a movie of length 1. This scheme is improved in the Pagoda scheme ([16]), the new Pagoda scheme ([12]), the Recursive Frequency-Splitting scheme ([22]), the Harmonic broadcasting scheme ([9]), and the Polyharmonic broadcasting scheme ([15]). In these schemes, the worst-case maximum delay asymptotically approaches  $1/(e^{h} - 1)$  for total bandwidth h. Several papers (e.g., [6]) have shown this bound on the delay to be optimal.

Harmonic broadcasting is implemented in [1] by a reduction from the window-scheduling problem. Specifically, the movie is partitioned into s equal-sized segments that are scheduled on the channels such that the gap between any two consecutive appearances of segment i is at most i. For a given number of channels, the goal is maximizing s (or equivalently minimizing the start-up delay which is at most 1/s). A schedule based on this principle is shown to approach the lower bound as  $h \to \infty$ . The papers [13, 14] also allow clients to start buffering segments before they start viewing the movie to achieve better results. However, they demonstrate the usefulness of this observation only for small examples. The paper [2] gives asymptotic matching upper and lower bounds on the maximum delay of a broadcasting scheme that uses pre-buffering.

The papers [19, 17] consider pre-loading, but only for the case of zero delay. The paper [19] does not allow pre-buffering before the clients start watching the movie whereas the paper [17] improves the results by allowing this feature. In another work on pre-loading [11], it is assumed that each client pre-loads segments of a different set of movies, according to the client's choice. Earlier works on pre-loading assume that the pre-loaded data is stored at a proxy server and not at the client's local machine [5, 21].

#### 2 Model and Preliminaries

The system broadcasts m movies on h channels. Unless specified otherwise, assume that all m movies have the same length, L, normalized to be one time unit (L = 1). Each movie is partitioned into s segments of equal length. Segment size may range from a single bit to the whole movie (in case of a single segment). The segments are indexed 1 to s in the order they should be viewed. The segments of the movies may be broadcast in any order on any channel. Assume that it takes one time slot to transmit or view a segment and thus, the length of the time slot is 1/s. Assume further that all the channels are synchronized in the sense that the starting points for the time slots coincide in all of them. Clients may buffer or view segments from any channel since they may receive data from all of them. In other words, the receiving bandwidth of each client is h. This implies that clients are able to buffer or view segment i the first time it is transmitted after their arrival time. Clients may buffer any number of segments before the viewing process begins.

The maximum delay of a client is denoted by D and is given as a fraction of the movie length. That is, if for example D = 1/4, no client will wait more than 1/4 of a movie length until it can start an uninterrupted playback of the movie. Let d = Ds denote the maximum delay measured as number of segments (time slots). In the broadcasting schemes we present, the delay is given in units of time slots, thus we assume that Ds is an integer.

The basic principle in all the schemes that use pre-buffering is that early segments should be broadcast more frequently than later segments. Intuitively, a client needs to watch the  $z^{th}$ segment only z - 1 time slots after it starts watching the movie, and therefore the  $z^{th}$  segment can be transmitted less often than earlier segments. Formally, in [2], optimal schemes that are based on pre-buffering are developed using the *windows scheduling* problem and the following is shown:

**Theorem 2.1** Let S be a schedule that broadcasts  $s \ge 1$  segments for a movie on  $h \ge 1$  channels. Then S guarantees a start-up delay of d > 0 time slots if and only if segment z is transmitted once in any window of d + z - 1 segments for each  $1 \le z \le s$ .

Assume now that out of the s segments composing the movie, the first b are pre-loaded and are stored at the client's local machine, and the other s - b segments are transmitted on channels. Clearly, the client can always watch the first b segments with no delay. Consider the remainder of the movie as a complete (shorter) movie. Assume there exists a broadcasting scheme that enables any client to view this shorter movie with delay at most d' (in number of segment units). The idea is to overlap the time the client watches the first b segments with the time it is waiting for the rest of the data. This would result in a delay  $\max(0, d' - b)$ . The challenge is to schedule the remaining s - b segments on the broadcasting channels in a way that minimizes this term.

**Example:** Consider a single movie composed of s segments that is transmitted on a single channel. Assume that the client has at its local machine all but the last 5 segments, which are

not pre-loaded, and which are transmitted on the channel in the following (repeated) order:

In this order, the segments 1,2 are transmitted every 4 slots and the segments 3,4,5 are transmitted every 6 slots. Since the movie is partitioned into s segments, these 5 segments are segments  $s - 4, \ldots, s$  of the movie. The first b = s - 5 segments are pre-loaded and available to the client at any time (thus, B = (s - 5)/s). By Theorem 2.1 the above transmission of the last 5 segments guarantees a delay of at most d' = 4 slots for viewing with no interruptions the last 5 segments. Thus, if  $s \ge 9$  (or equivalently  $b \ge 4$ ), meaning that the client has at least the first 4 segments of the movie, then there is no delay at all. If s < 9, the delay with pre-loading is 4 - b slots which is D = (4 - b)/(b + 5) = (9 - s)/s of the whole movie. We get the following tradeoff between B and D:

s	В	D
5	0	4/5
6	1/6	3/6
7	2/7	2/7
8	3/8	1/8
9	4/9	0
> 9	(s-5)/s	0

In the extremes, in order to guarantee no delay the pre-loading size should be 4/9 of the movie length, and with no pre-loading the maximum delay is 4/5 of the movie length.

The following table provides a glossary of the notation used in the paper.

notation	meaning
h	number of channels
m	number of different movies
$\rho = h/m$	the ratio between number of channels and number of movies
s	number of segments per each movie
В	the size of the pre-loading buffer as a fraction of the movie lengt
b = Bs	the size of the pre-loading buffer as a number of segments
D	the maximum delay as a fraction of the movie length
d = Ds	the maximum delay as a number of segments
d'	the maximum delay for the non pre-loaded part, as a number of segments

Table 1: Glossary of notations.

The lower bound and the matching broadcasting scheme we present assume that the client's pre-loaded memory stores only prefixes of movies. The following Theorem states that indeed the best way to use an allocated amount B of memory to a movie is by storing (pre-loading) a prefix of size B of this movie.

**Theorem 2.2** For any broadcasting scheme that combines pre-loading and broadcasting, if memory of size B is allocated to a movie, then it is optimal to store from this movie a prefix of size B.

**Proof**: Consider any broadcasting scheme S in which for some movie there exists a bit i that is not pre-loaded, while some bit j > i is. Since j is pre-loaded, it is never transmitted by the scheme. Consider the scheme S' in which bit i is pre-loaded and bit j is transmitted whenever bit i is transmitted in S. Clearly, any client will have bit i on time (from its memory) and bit j will be available at the time bit i is available in S. Since it is assumed that all clients view the movie in order, bit i is requested before bit j, therefore, by having bit j available in S' at the time i was available in S, clients' delay can only decrease.

## 3 A Lower Bound for the Maximum Delay

We compute a lower bound for the maximum delay for any  $s \ge 1$  segments of movies. We assume that both b = Bs and d = Ds are integers. For large enough s, and in particular asymptotically, when s tends to  $\infty$ , this assumption is valid.

Each client has the first b = Bs segments of each movie in its buffer. Therefore, the channels need to broadcast only segments  $b+1, \ldots, s$ . Since the maximum delay is d, segment i of each movie should be broadcast at least once in any window of size d+i for  $b+1 \le i \le s$ . That is, segment i consumes at least 1/(d+i) fraction of a channel. Since the total number of channels is h and since there are m movies, it follows that

$$m\sum_{i=b+1}^s \frac{1}{i+d} \le h \; .$$

This is equivalent to

$$\sum_{a=b+d+1}^{s+d} \frac{1}{i} \le \rho$$

The harmonic number  $H_n = \sum_{i=1}^n \frac{1}{n}$  is less than  $1 + \ln n$  (see e.g., [7] page 264, Eq. 6.66). This implies that

$$\ln\left(\frac{s+d}{b+d}\right) \le \rho \; .$$

Since b = Bs and d = Ds, this is equivalent to

$$\frac{1+D}{B+D} \le e^{\rho} \; .$$

By manipulating the above inequality we get the lower bound for D given B

$$D \ge \frac{1 - Be^{\rho}}{e^{\rho} - 1} \; .$$

Equivalently, the lower bound for B given D is

$$B \ge \frac{1 - D(e^{\rho} - 1)}{e^{\rho}} \; .$$

In particular, when B = 0 the lower bound matches the known lower bound [6]

$$D \ge \frac{1}{e^{\rho} - 1}$$

When D = 0 the lower bound for B is

$$B \ge \frac{1}{e^{\rho}} \ .$$

For example, in order to guarantee no delay for a single movie transmitted on a single channel the client must pre-load at least  $1/e \approx 0.368$  fraction of the movie and without pre-loading the maximum delay is at least  $1/(e-1) \approx 0.582$  fraction of the time it takes to broadcast the whole movie.

### 4 Optimal Schedules

In this section we present an upper bound that approaches the lower bound from Section 3 when each movie can be fragmented into many segments. In the optimal schedule the last segments of each movie are transmitted in such a way that earlier segments are transmitted more often. Assume first a transmission of a single movie, that is, m = 1. Consider a schedule of the numbers [x..y] on h channels such that for any  $x \leq i \leq y$ , in each window of i consecutive slots, the number i appears at least once in one of the channels. For example

is such a schedule for h = 1, x = 4, and y = 8.

Suppose we interpret the numbers  $x, \ldots, y$  as segments  $s - y + x, \ldots, s$  of the movie. This reflects a partition of the movie into s segments each a 1/s-fraction of the movie length. The segments that are not transmitted should be stored at the client memory, thus, the pre-loading size is b = s - y + x - 1 which implies B = (s - y + x - 1)/s. In other words, the schedule of the numbers  $x, \ldots, y$  reflects a broadcast of segments  $b + 1, \ldots, s$ , such that segment b + 1 is transmitted with window x = (b + 1) + (y - s) and in general, segment z is transmitted with window z + (y - s), for any  $z \in \{b + 1, \ldots, s\}$ . Clearly, segments  $1, \ldots, b$  that are pre-loaded do not cause any delay. By Theorem 2.1, the delay with pre-buffering is D = (y - s + 1)/s. It follows that a viable range for s is from y - x + 1 to y + 1 (it might be that s > y + 1 but the delay never reduces below 0), and we get the following tradeoff between B and D:

s	В	D
y-x+1	0	x/(y-x+1)
y-x+2	1/(y-x+2)	(x-1)/(y-x+2)
y-x+i	(i-1)/(y-x+i)	(x-i+1)/(y-x+i)
y	(x-1)/y	1/y
y+1	x/(y+1)	0
> y+1	(s+x-y-1)/s	0

In particular, this means that in order to guarantee no delay with this schedule the pre-loading size should be an x/(y+1) fraction of the movie length and with no pre-loading the maximum delay is an x/(y-x+1) fraction of the movie length.

The upper bound is achieved by finding large [x..y]-ranges such that any  $x \leq i \leq y$  is transmitted on one of the *h* channels at least once in each window of *i* consecutive slots. This is a special instance of the *windows scheduling* problem that was studied in [2]. This paper presents an algorithm, denoted  $RR^2$ , that gets as input the numbers x, h, and a parameter  $\Delta$ , and produces a periodic valid schedule on *h* schedules of the numbers  $x, x + 1, \ldots, y_h(x, \Delta)$ , such that  $y_h(x, \Delta)$  is large.

Algorithm  $RR^2$  produces two-level round robin schedules. The parameter  $\Delta$  indicates the number of elements in the upper level. For example, for x = 4, h = 1, and  $\Delta = 2$ , the algorithm produces the output ((4,5), (6,7,8)), that is,  $y_1(4,2) = 8$ , and the corresponding schedule is [4,6,5,7,4,8,5,6,4,7,5,8]. Note that this schedule iterates between scheduling a member of (4,5) and a member of (6,7,8), and that the members from each set are selected in round robin. Similarly, for  $x = 8, \Delta = 3$ , Algorithm  $RR^2$  produces the output ((8,9), (10,11,12), (13,14,15,16)), that is  $y_1(8,3) = 16$ , and the prefix of the corresponding output is [8,10,13,9,11,14,8,12,15,9,10,16,..].

The following lemma states the value of  $y_h(x, \Delta)$  achieved by the algorithm.

**Lemma 4.1** [2] Let  $y_h(x, \Delta)$  be the last segment assigned by the iterated  $RR^2$  algorithm on input  $\Delta \geq 2$  and  $x \geq \Delta$  on h channels, then

$$y_h(x,\Delta) \ge \left(1 + \frac{1}{\Delta}\right)^{h\Delta} (x - \Delta) + \Delta - 1$$
 (1)

Back to our problem, as we explain shortly, the maximum delay of a client depends on the value  $\frac{x}{y_h(x,\Delta)-x+1}$ . The following result from [2] will be used.

**Lemma 4.2** [2] For  $h \ge 1$ , there is a positive constant  $c_h$  that depends only on h such that for any  $\Delta \ge 2$  and  $x \ge 2\Delta^2$ , the iterated  $RR^2$  algorithm guarantees

$$\frac{x}{y_h(x,\Delta) - x + 1} \le \left(1 + \frac{c_h}{\Delta}\right) \left(\frac{1}{e^h - 1}\right).$$
(2)

Consider the general case for s = y - x + i in which B = (i - 1)/(y - x + i) and D = (x - i + 1)/(y - x + i). Assign z = y + 1 and j = x - i + 1. With these variables,

$$B = \frac{x-j}{z-j} \qquad D = \frac{j}{z-j} \; .$$

Further, assign w = z/j. It follows that

$$B = \frac{x/j - 1}{w - 1}$$
  $D = \frac{1}{w - 1}$ 

By (2), in the limit, for large values of s (and consequently large values of x, y), there exists a valid schedule of [x..y] such that

$$\frac{x}{y-x+1} \approx \frac{1}{e^h - 1}.$$

This implies that

$$x \approx \frac{y+1}{e^h} \approx \frac{z}{e^h}$$

Furthermore, the values of B and D as a function of w are

$$B = \frac{w/e^h - 1}{w - 1}$$
  $D = \frac{1}{w - 1}$ .

Plugging w = 1 + 1/D in the equality for B yields

$$B = D\left(\frac{1+1/D}{e^h} - 1\right) = \frac{D+1}{e^h} - D = \frac{1}{e^h} - \left(1 - \frac{1}{e^h}\right)D.$$

Equivalently,

$$D = \frac{1/e^h - B}{1 - 1/e^h} = \frac{1 - e^h B}{e^h - 1} \; .$$

For the special case of D = 0 we have  $(1 - e^h B) = 0$  or equivalently

$$B = \frac{1}{e^h} \; .$$

For the special case of B = 0 we have  $1/e^h = (1 - 1/e^h)D$  or equivalently

$$D = \frac{1}{e^h - 1} \; .$$

The calculation for the general case of m > 1 is identical. For each of the *m* movies, segments  $s - y + x, \ldots, s$  are transmitted with windows  $x, \ldots, y$ , respectively. Along the whole calculation it is possible to replace *h* by  $\rho = h/m$ . We get the following result for the general case.

**Corollary 4.3** For any  $D \ge 0$ , there exists a broadcasting scheme that guarantees delay at most D and requires pre-loading of size

$$B = \frac{1}{e^{\rho}} - \left(1 - \frac{1}{e^{\rho}}\right)D \ .$$

Equivalently, for a pre-loading of size  $B \ge 0$  the scheme guarantees delay at most

$$D = \frac{1 - e^{\rho}B}{e^{\rho} - 1} \; .$$

Note that the above upper bounds match the lower bounds from Section 3.

#### 5 Discussion

In this paper we showed a tradeoff between the size of the pre-loaded buffer and the maximum delay for an uninterrupted playback of movies. We first proved a lower bound for the optimal possible tradeoff and then demonstrated how to achieve it when a movie may be partitioned to many segments. In what follows we discuss several possible extensions. Limiting the receiving bandwidth: In this paper we assumed that a client can buffer segments of the movie from all the channels. This means that the receiving bandwidth of a client is h times more than the playback bandwidth. Several papers explored the case where the receiving bandwidth is only r times the playback bandwidth for some 1 < r < h (e.g. [18]). However, no paper considers this case with the pre-loading capability.

Limited size buffers: Early works on this model assumed that the buffer size for the prebuffered segments is bounded as a fraction of the movie length (see the survey [3]). Although it seems that the sky is the limit for cheap and large memory, this might not be the case for some set-top boxes (e.g., mobile set-top boxes). It is interesting therefore to investigate the tradeoff between the pre-loaded buffer size and the pre-buffered buffer size when their sum is bounded.

Movies with different lengths: In this paper it is assumed that all the movies have the same length. Broadcasting schemes for movies with different lengths have not been well studied even with no pre-loading. It is an interesting open problem, especially since a broadcasting scheme for movies with different lengths might use different pre-loading sizes.

Movies with different popularity: The solution of broadcasting (in contrast to unicast) is suitable for popular media. However, even among popular media there are different levels of popularity. In particular, only a small number of media is very popular at a specific time. It is very intriguing to see how the combination of pre-loading and pre-buffering can be used to provide small maximum delay to the highly requested movies while increasing the maximum delay for less popular movies. The problem can be modelled as follows. Consider a system with m movies with different popularity. The popularity parameter of movie i is denoted by  $p_i$  such that  $\sum_{i=1}^{m} p_i = 1$ . The parameter  $p_i$  can be viewed as the probability that the next request is for movie *i*. Let  $D_i$  denote the maximum possible delay a broadcasting scheme guarantees for a movie *i*, then the goal is to minimize  $\sum_{i=1}^{m} p_i D_i$ . That is, the weighted maximum delay (also the expected maximum delay) of the whole system. Since the popularity parameter may vary drastically over time, it is not practical to assume that each movie has a specific popularity parameter and instead the following simpler model may be considered. The system distinguishes between the hot movies and the rest of the popular movies. There are various ways to ensure smaller delay to the hot movies, they can be transmitted more often, or a larger portion of these movies might be pre-loaded.

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